

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit:  
Carl Phillip Gusler, Rick : Intellectual Property  
Allen Hamilton, II, Harry : Law Department  
Schatz, James Wesley Seaman : International Business  
Serial No: : Machines Corporation  
: 11400 Burnet Road  
Filed: : Austin, Texas 78758

Title: Method and System for  
Multiple-Party, Electronic  
Mail Receipts

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to

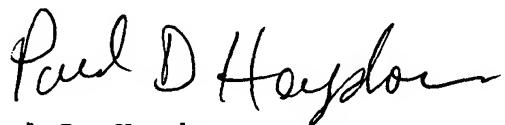
PATENT

mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Serial No.

Applicant: Gusler, et al

Filing Date:

Group:

Atty. Docket No. AUS920030361US1

Reference Designation			U. S. PATENT DOCUMENTS			Filing Date If Appropriate
Examiner Initial	Document Number	Date	Name	Class	Subclass	
	AA 5,476,420	12-19- 1995	Manning			06-30-1993
	AB 5,790,790	08-04- 1998	Smith et al			10-24-1996
	AC 5,850,520	12-15- 1998	Griebelnow et al.			07-01-1996
	AD 5,748,884	05-05- 1998	Royce et al			06-13-1996
	AE 6,477,647B 1 6,138,146	11-05- 2002 10-24- 2000	Venkatraman et al Moon et al			02-08-1999 09-29-1997
	2002/01438 81A1	10-03- 2002	DeLaCruz			03-29-2001
	6,223,168B 1	04-24- 2001	McGurl et al			12-30-1998
	5,878,230	03-02- 1999	Weber et al			01-05-1995
	6,449,635B 1	09-10- 2002	Tilden et al			04-21-1999
	6,370,575B 1	04-09- 2002	Dougherty et al			01-08-1999
	2002/00403 87A1	04-04- 2002	Lessa et al			10-01-2001
	6,199,106B 1	03-06- 2001	Shaw et al			09-14-1998
	6,182,059B 1	01-30- 2001	Angotti et al			05-08-1997

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
	WO 01/97432A3	20 June 2002	US				
	WO 01/97432A2	20 Dec. 2001	US				

JP22063113A2 28 Feb.  
2003 Japan  
JP2002164911A2 07 June  
2002 Japan

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner

Initial

✓ AR GotMarketing, "GotMarketing Announces Viralocity," web page available at [http://www.gotmarketing.com/corporate/press-releases/august\\_21\\_2002\\_1.html](http://www.gotmarketing.com/corporate/press-releases/august_21_2002_1.html) (Accessed 5/1/2003)

✓ AS Slavin, Urban Legend Zeitgeist, "Email Forwarding for Fun and Profit Redux," web page available at <http://www.urbanlegends.com/ulz/emailtracking.html> (Addressed 5/1/2003)

✓ AT Visualware, "VisualRoute," web page available at <http://www.visualware.com/visualroute/index.html> (Accessed 5/1/2003)

✓ AU Fajman, R., "An Extensible Message Format for Message Disposition Notifications," (RFC 2298) The Internet Society, March 1998.  
van der Eijk, "The ebXML Messaging Service," web site available at <http://www.xml.com/lpt/a/ws/2003/03/18/ebxml.html> (Accessed 5/1/2003)  
OASIS, "Message Service Specification Version 2.0," Organization for the Advancement of Structured Information Standards, April 1, 2002.

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Examiner:

Date Considered:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.